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PATENT
03716-P0002A WWW/TMO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/504,803	Applicants: P. Howard Edelstein, et al.
Confirmation No. 6146	Filing Date: February 16, 2000
Title of Application	System For Facilitating The Processing And Settlement Of Securities Trades
Group Art Unit 2164	Examiner Daniel S. Felten

Assistant Commissioner for Patents
Washington, DC 20231

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Preliminary Amendment

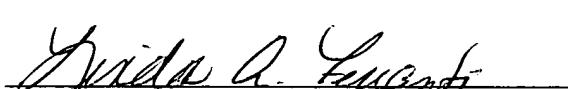
GROUP 3600

Dear Sir:

This Preliminary Amendment is being filed in connection with a Request for Continued Examination (RCE), filed herewith, and in response to the Final Office Action mailed September 23, 2002.

Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as Express Mail Post Office to Addressee Mailing Label Number EL 889849937US in an envelope addressed to: Assistant Commissioner for Patents; Washington, DC 20231.

December 9, 2002


Linda A. Ferranti